

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	7927072
<b>Application Number:</b>	09930786
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3301
<b>Title of Invention:</b>	AUTOMATED FINANCIAL PORTFOLIO COACHING AND RISK MANAGEMENT SYSTEM
<b>First Named Inventor/Applicant Name:</b>	Ronald E. Sloan
<b>Customer Number:</b>	81331
<b>Filer:</b>	Maura Kathleen Moran.
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	10761.0304-01
<b>Receipt Date:</b>	30-JUN-2010
<b>Filing Date:</b>	15-AUG-2001
<b>Time Stamp:</b>	16:56:35
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	4347
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
-----------------	----------------------	-----------	----------------------------------	------------------	------------------

1	Transmittal Letter	SupplementalInformationDisclosureStatement.PDF	88882	no	2
			a29af6596fc47fceb9964d5b0fd8df1ee8719650		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	SB08Form.PDF	69714	no	1
			2fb9925cd076f7956dc235b259e933cf3747e157		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	ReferenceNoticeOfAllowanceUSSN09704838June152010.PDF	435067	no	7
			a2d7038473bca7f770d82d3ff9bd5304ea31ffdb		
Warnings:					
Information:					
4	NPL Documents	ReferenceNoticeOfAllowanceUSSN09929610April52010.PDF	756440	no	13
			ab90dc68ab6cf0c44aba54524fa37399caa1546		
Warnings:					
Information:					
5	NPL Documents	ReferenceNoticeOfAllowanceUSSN09976443April192010.PDF	528364	no	10
			60e1394e13c969c85c4b114722831604f7e4a75f		
Warnings:					
Information:					
6	NPL Documents	ReferenceNoticeOfAllowabilityUSSN09929610May262010.PDF	508274	no	10
			de44b470c6f72bdc4042e54b66d9d010d912a946		
Warnings:					
Information:					
7	Fee Worksheet (PTO-875)	fee-info.pdf	30365	no	2
			01e00dacf96bb49171589e2414d88a93c3589453		
Warnings:					
Information:					
Total Files Size (in bytes):			2417106		

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**